

Patent

Attorney Docket No.: MTI-31041

#2/205  
DKing  
6/27/01



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Ping, Er-Xuan, et al.  
Serial No. : Unknown  
Filing Date :  
For : Method for Forming Raised Structures by  
Controlled Selective Epitaxial Growth of Facet  
Using Spacer  
Group Art Unit : Unknown

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

■ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231

**37 CFR 1.8(a)**

**37 CFR 1.10**

■ with sufficient postage as first class mail

■ As "Express Mail Post Office to Addressee" Mailing Label  
No. EL700411040US

**Transmission**

□ transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: March 23, 2001

*Gu R. Houk*

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98**

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to the Applicant which may be considered material to the patentability of the claims of the above-captioned application.

The Applicant respectfully requests that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,



Kristine M. Strodthoff  
Registration No. 34259

Dated: March 23, 2001

P.O. ADDRESS:

WHYTE HIRSCHBOECK DUDEK S.C.  
111 East Wisconsin Avenue, Suite 2100  
Milwaukee, Wisconsin 53202  
(414) 273-2100